

# **Dr Keith Lloyd Jones**

**AEng IEng FIIRSM CEnv MBCS** 



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2nd PhD University of London Institute of Advanced Legal Studies

PhD University of London London School of Economics Faculty of Economics

DMS University of Middlesex MBA University of Durham BA/MA University of Oxford

Qualifications part-time self funded.

**Profile** 

Global Lead Auditor, Trainer, and Consultant UK and USA accredited.

ISO 9001 quality systems, ISO45001 Health & Safety, ISO 14001 environmental RC14001 Responsible Care, ISO

22001/ FSSC Food Safety Management

ISO 27001 Information Security & ISO 20000 Information Security Management

Cyber Essentials Cyber Security Specialist.

SA 8000 SMETA ISO 26000 Corporate Social Responsibility specialist; reputation/risk management audit in the global supply-chain. ISO 26000:2010 Assurance Auditor.

ISO 50001 Energy Management Systems.

CF2000 Part 1 Conflict Free Chain of Custody Product Control Standard™

CF 2000 Part 2: Anti Slavery™ Corporate Social Responsibility

Arboriculturalist and Auditor TC2020 Tree Care Compliance™

Lead Auditor ISO 22301:2019 Business Continuity.

Auditor chemical products.

Fellow International Institute of Risk & Safety Management FIIRSM, ISO 45001 Lead Auditor,

UK Govt Occupational Safety & Health Register Safety Specialist.

Highways Engineer. Chapter 8 compliance. Associate Engineer AEng MIEI

**Road Safety Specialist SoRSA** 

**CE Marking Auditor** 

BEAC (USA) CPEA Certified Professional Environmental Auditor Responsible Care CPSA Certified Process Safety

**Auditor** 

IRCA Lead Tutor A007031. Principal Examiner The Chartered Quality Institute

**Technical Expert Energy Management Global Certification Body.** 

Incorporated Engineer.

**Chartered Quality Professional.** 

**Chartered Building Engineer** 

Fellow International Institute of Risk & Safety Management

**Chartered Environmentalist.** 

General Management experience in steel, metals and engineering manufacturing, food and drink, retail, services, legal sector, purchasing, facilities management, financial services information security. Static security, vehicle

immobilisation. Aerospace component supply. Energy management specialist and Technical Expert.

Role

Client Manager and Auditor for Global Certification Bodies Independent Compliance Specialist Auditor and Trainer.

Steer

Steel and Steelworkers Heinemann Strategic study of the steel industry

Selected publications.

Corporate Citizenship Financial Times CSR sourcebook
Business Ethics The Economist CSR supply-chain audit
Corporate Governance CIPS Policy Handbook

# Professional Qualifications

IRCA Registered Lead Auditor first registered 1985 A007031
Fellow International Institute of Risk & Safety Management IRSM 300983
Institute of Chartered Foresters ICF 780649

Chartered Building Engineer

Associate Engineer Engineers Ireland.

Fellow Chartered Institution of Highways & Transportation

CBuildE MCABE 11106749

AEng MIEI P402745

FCIHT 70428

Member Institute of Highways Engineers MIHE 33087

Incorporated Engineer Engineering Council 609946

Member Society of Environmental Engineers MSEE M3126 Fellow Institute of Metals Minerals Mining FIMMM 477875

Affiliate Member of Society of Road Safety Auditors SoRSA
Chartered Environmentalist CEnv

Member Chartered Quality Institute MCQI M023097

Chartered Quality Professional CQP

Principal Examiner CQI M23097

Principal Auditor Responsible Care CPEA & CPSA BEAC USA

Tutor, Examiner, Author Chartered Institute of Purchasing & Supply CIPS

Fellow Chartered Institute of Personnel & Development FCIPD 00407241

Lead Auditor/Client Business Manager, Operations Director for International Certification Bodies. Manager Lead Auditor training ISO 9001 ISO 14001 OHSAS18001 ISO 22001 Food Safety. ISO 50001 Energy Management **IRCA** Approved CSR ISO 26000/ SA 8000 ISO 27001 ISMS Tutor ISO20001 SMS ISO55001 Asset Management. ISO22301 Business Continutiy. ISO14385:2016 Medical Devices. Delegate to The Smith Institute Government Think-Tank by invitation of The Chancellor of the Exchequer. Specialist Government Advisor contributor to ad-hoc policy forums. The original joint author of SA8000, first third-party ethical audit business standard, implementation of first audits Supply chain control globally for Disney Corporation, creation and roll-out of first SA 8000 Training Programme. CF2000 Part 1 Conflict Free Chain of Custody Product Control Standard™ safety and compliance for Conflict Minerals SSIP. **Amenity Tree Care** Original Author Auditor and developer TC2020 Tree Care Compliance™ **Conflict minerals Modern Slavery** CF2000 Part 1 Conflict Free Chain of Custody Product Control Standard™ CF 2000 Part 2: Anti Slavery™ Corporate Social Responsibility Official Auditor World's first supplier audit programme to the Humane Cosmetics Standard audit for animal rights. Planning, audit & reporting for the British Union for the Abolition of Vivisection. **BUAV** Security, Information & Cyber Security Control of operations and resources. Static guarding, entry control, parking **Compliance** control, CCTV and IT solutions. Director Programme Management. Legal and regulatory compliance, audit, and Corporate Social Responsibility issues. Head of Quality & Managing Director of audit and reputation management consultancy for public services delivery. Management of Inspection specialist audit and reputation management programmes through PFI and PPP projects. Successful ISO/ IIP registration. Corporate supply-chain control, managing a programme for blue-chip clients 7,000+ audits world-wide, including Global Head of China, Vietnam, Thailand,, the Americas, India, East Europe, Syria & the Middle East. All arrangements, resources **Audit** control on-site audit £20m turnover FM & services group, c3,000 employees. Implemented ISO 9000, IIP. Devised & implemented barcode **Group Quality &** quality standard for Facilities Management, now the industry standard .. Development Director. Steel Industry. Drinks and hospitality industry, c3,000 staff in multi-site locations. All Training and QA issues, BS5750 **Operations Director** implementation in Distribution. Multi-TU negotiations Professional Professional Engineering Association Deputy Chief Executive Electrical Power Engineers Association/Engineers & Engineering Managers Association. Northern Ireland responsibility. Liaison with HMG Northern Ireland Office and MOD. Electricity **Association** generation distribution and supply. National negotiator electricity industry, iron and steel industry. General Deputy Secretary

Languages E	inglish/ French/ German Business use./Welsh/ Latin
	ISMS qualified MBA Durham University Management Information Systems.  IRCA Trainer ISO 27001 Lead Auditor and Tutor  Seminar presentations / workshop facilitation  ISMS consultancy with SMEs, second party audit, ISMS documentation implementation.  Labtest consultancy & audit ISO15189 & ISO17025  Sustainability assurance of products and reports, data management,  ISO 27000 & 20000 Information Security Management  RC14001 Responsible Care in chemicals production
Extensive Management Systems consultancy Information security & Business Continuity Management	Sustainability risk and impact assessment and mitigation Management systems and certification ISO 9001/14001/ OHSAS 18001/ SA 8000/ ISO 22001/ RC14001/ ISO 27001/ ISO 50001 in most industrial and commercial sectors, UK & global Regulatory compliance and conformity Certification Body conformity assessments ISO 9001/14000/ 18001/22001 Food / 27001 & 20000 ISMS /50001 EnMS specialist ISO14385 Medical devices Environmental and sustainability audits and appraisals including ISO 14001, EMS, EnMS due diligence Arborist and audit specialist in amenity tree care and maintenance.
	Global supply chain auditing SA 8000/ETI Base Code, various sector / company codes of conduct SEDEX M&S, Tesco, ASDA Energy Management Systems Gap Analysis, implementation. Due diligence audits

#### Current

### Independent Auditor, Specialist Consultant Author and Trainer

Independent audit and support for amenity tree care and maintenance including Gristwood & Toms, Foremost Tree Surgeons, Medical Devices Pyser Health.

Information Security Manager, digital remote access, for Caribbean Institute for Quality CIQ, CEO Ms Cherry Anne Francis Lau, - #43 Mulchan Seuchan Road, Chaguanas, Trinidad & Tobago , W.I.

Compliance and Service Implementation Director **SupportingIT** ISMS Implementation ISO 27001 certification. SupportingIT Ltd, Whitley Bay, Newcastle upon Tyne t/o £1m staff 10 UK and Sweden remote locations

Compliance Director Shadow Solutions Ltd Static guarding and vehicle immobilisation services., cash handling, CCTV implementation. ISMS implementation to ISO 27001 certification

CEO and IT Information Security Manager **communitas eu ltd.** Not for Profit org. Budgetary responsibility, all training, audit & systems compliance Implementation ISMS to ISO 27001 certification. IIP, Good Corporation c£6m turnover, 35 staff, 10 offices

PML Ethik/ PML Group. Managing Director Programme Management consultancy IT Manager.

Company. PFI/PPP projects .ISMS implementation, Business Continuity systems.

Head of Quality Systems & Inspection ITS Including Laboratory Accreditation.

Business Manager.and Auditor Societe Generale de Surveillance/SGS

Group Quality & Development Director The Executive Group Plc QMS/IIP

Company Secretary/ Snr Consultant Nick Jackson Associates Mgt Consultants.

Personnel & Quality Executive **Brent Walker Plc.** Beverage and snacks manufacture and retail, hotels and leisure outlets 5 years .

Professional Engineering Association Deputy Chief Executive **Electrical Power Engineers Association/Engineers & Managers Association** Northern Ireland responsibility/ liaison with NI Office. Electricity generation and supply. Financial Services Halifax Staff Association

Director Lonrho Plc/ Manganese Steel Ltd. General Manager drop forging operations

Progress Dept/Inspection steel/engineering industry, Sheffield/Scunthorpe/Wales/London.

Senior Organiser Iron & Steel Trades Confederation. TUC Official.

### Oxford University.

**British Steel** Management Trainee. Inspector and Technician.

#### Interests

Business and historical research, writing, & publication. creative writing; published & broadcast author,



The International Register of Certificated Auditors (IRCA) is the leading professional body for management system auditors.

# Meet our auditor of the month: lead auditor Dr Keith Jones



About me

I'm based in Sheffield although I come from North Wales – with a name like mine, no surprises there! This location is central and highly convenient for travel as I work throughout the UK and globally. I'm frequently over in the US, Trinidad, the Baltic States, China and Thailand.

I started out in the iron and steel industry, where I was a progress inspector and Laboratory Techcician, and, after that, in electricity supply, brewing and hospitality, general manufacturing and facilities management. As an Inspector and Internal Auditor, I was originally registered with IQA and transferred to the IRCA register when it first opened.

Having worked as a manager for SGS, and later ITS, I now practise independently. I played a leading role in the development of third-party corporate social responsibility audit, was the original author of the first global ethical audit standard SA 8000, and was responsible for the roll-out of social responsibility auditing and training worldwide. I also worked as project manager for the Jalandhar Project to eliminate child labour in the football stitching factories of northern India. In 2003, I became the official auditor for the British Union for the Abolition of Vivisection and implemented the world's first supplier audit programme to the humane cosmetics standard audit for animal rights in the supply chain. My work on compliance and ethical audit has been published in books by Heinemann, FT Pierson and The Economist. I'm also a delegate to the Smith Institute, a government think-tank, where I contribute to ad-hoc policy forums on compliance and management issues.

# Where did you carry out your auditor training?

In the days when I started out, auditor competence was based very much on practical experience, although I have supplemented mine with extensive and on-going training in the disciplines where I specialise: QMS, NHSS, EMS, OHSMS,

ISMS, ethical audit, lab test accreditation, energy management, road safety audit and food safety management. I trained as a certified professional auditor with the Board of Environmental Auditors Health & Safety Certifications in the US. As an IRCA-approved trainer, I now try to pass on my auditor experience to my students and trainees.

### What are the key benefits of IRCA certification?

IRCA is recognised as the key imprimatur of auditor competence around the world. I'd always choose an IRCA-certificated auditor, as I know that they have been adequately trained with confirmed audit experience. My view is that auditor status should be synonymous with IRCA membership and seen as part of the necessary formalisation of our professional status, which I believe to be long overdue.

# How do you feel auditors are viewed by other professions?

Frankly, I don't believe that compliance audit is regarded as a profession in the true sense, although I passionately believe that it should be. Many people barely know what we actually do, having only heard of financial audit. With the growth in audited systems globally there's an urgent need to upgrade management systems audit to the status of a recognised, dedicated profession. The introduction of the 'Chartered Quality Professional' was a great help, but more needs to be done to move our image away from that of a last resort for the retired or the inept. We can do this by raising barriers to entry, enhancing auditor status and publicising what we do much more widely.

### What excites you about your work?

Like most of the many hundreds of auditors I have met and spoken to during my career, I love audit practice, the review of performance against records of data, the investigative method, the exploration through analysis of records of an issue, and, best of all, the daily contact with real people doing real things in business and industry. Of course, it's always nice when you have the sense that you are actually making things better.

### In terms of auditing and your career, what are your plans for the future?

My only plan is to continue doing what I love, at the same time as continually broadening my skills through enhanced qualifications and experience and, of course, to keep making my contribution to HMRC – after all, someone has to pay those bankers' bonuses!